WH 58 500

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| Application Number     | 10/715,462          |  |
|------------------------|---------------------|--|
| Filing Date            | November 19, 2003   |  |
| Inventor(s)            | Kang Soo SEO, et al |  |
| Group Art Unit         | 2621                |  |
| Examiner Name          | Helen Shibru        |  |
| Attorney Docket Number | 46500-000581        |  |

| ENCLOSURES (check all that apply)                   |              |  |  |         |  |
|---|--------------|--|--|---------|--|
| Fee Transmittal F                                   | -orm         | Assignmen  |  | After A | Allowance Communication to   |
| ⊠ Fee Attached                                      | i            |  | e Official Draftsperson and ets of Formal Drawing(s) | BRIEF   | ER SUBMITTING APPEAL<br>FAND APPEAL BRIEF (w/clean<br>n of pending claims) |
| Amendment   |              | Licensing-re   | elated Papers  |         | l Communication to Group of Appeal, Brief, Reply Brief)                    |
| After Final   |              | Petition   |  |         | etary Information  |
| Affidavits/dec                                      | alaration(s) |  | Convert to a Application                             | Status  | Letter   |
| Extension of Time                                   | e Request .  | Power of Attorney, Revocation Change of Correspondence Address |  |         | Enclosure(s) identify below):  |
| Express Abandonment Request                         |              | ☐ Terminal Di  |  |         |  |
| ☑ Information Disclosure Statement                  |              | CD, Numbe  | er of CD(s)  |         |  |
| Certified Copy of Priority Document(s)              |              | Remarks  |  |         |  |
| Response to Missing Parts/ Incomplete Application   |              |  |  |         |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 |              |  |  |         |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT          |              |  |  |         |  |
| Firm or Individual name Hamess, Dickey &            |              | Pierce, P.L.C. Attorney Name Gary D. Yacura                    |  |         | eg. No.<br>5,416   |
| Signature   |              |  | ·//  | /       |  |
| Date  | May 28, 2008 |  |  |         | ¥ *,   |

TRY

## **FEE TRANSMITTAL** for FY 2008

Effective 2/8/2006. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

Signature

|                      | Complete if Know    | in .            |
|----------------------|---------------------|-----------------|
| Application Number   | 10/715,462          | 10,000          |
| Filing Date          | November 19, 2003   | MAY 2 8 2008    |
| First Named Inventor | Kang Soo SEO, et al | MAY S & TOOO PI |
| Examiner Name        | Helen Shibru        | 13 8            |
| Art Unit             | 2621                | The Township    |
| Attorney Docket No.  | 46500-000581/US     | TALK.           |

Date

May 28, 2008

| / / / / / / / / / / / / / / / / / / /        |  |                                    |                             |   |           |                 |                         |   |             |
|--|--|------------------------------------|-----------------------------|---|-----------|-----------------|-------------------------|---|-------------|
| METHOD OF PAYMENT (check all that apply)     |  |                                    | FEE CALCULATION (continued) |   |           |                 |                         |   |             |
| ☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None |  |                                    |                             | DITION<br>Entity  | VAL FE    | ES<br>all Entit | v                       |   |             |
| Order  Deposit Account:                      |  |                                    | Fee                         | Fee   | Fee       | Fee             | Fee Description         | Fee Paid  |             |
|  | <del>, </del>  |                                    |                             | Code<br>1051  | (\$)      | Code            | (\$)                    | ·   | ree Palo    |
| Deposit<br>Account<br>Number                 | 08-0750  |                                    |                             | 1051  | 130<br>50 | 2051<br>2052    | 65<br>25                | Surcharge - late filing fee or oath<br>Surcharge - late provisional filing fee<br>or cover sheet. |             |
|  |  |                                    |                             | 1053  | 130       | 1053            | 130                     | Non-English specification   |             |
| Deposit<br>Account                           | Harness, Dickey & Pierce, PLC                                      |                                    |                             | 1812  | 2,520     | 1812            | 2,520                   | For filing a request for reexamination  |             |
| Name   | Harriess, Dickey & Fielde, FEC                                     |                                    |                             | 1804  | 920*      | 1804            | 920°                    | Requesting publication of SIR prior to<br>Examiner action   |             |
| ☐ Charge fee(s) i                            | uthorized to: (check all that ap<br>indicated below                | overpayments                       |                             | 1805  | 1,840*    | 1805            | 1,840*                  | Requesting publication of SIR after<br>Examiner action  |             |
|  | ditional fee(s) during the pende<br>ndicated below, except for the |                                    | ו                           | 1251  | 120       | 2251            | 60                      | Extension for reply within first month  |             |
|  | fied deposit account.  | Tilling fee                        |                             | 1252  | 460       | 2252            | 230                     | Extension for reply within second month   |             |
|  | FEE CALCULATION  |                                    |                             | 1253  | 1,050     | 2253            | 525                     | Extension for reply within third month  |             |
|  | LING FEE Small Entity  |                                    |                             | 1254  | 1,640     | 2254            | 820                     | Extension for reply within fourth month   |             |
|  | ee Fee Fee Description   |                                    |                             | 1255  | 2,230     | 2255            | 1,115                   | Extension for reply within fifth month  |             |
|  | ode (\$)   | Fee Paid                           |                             | 1401  | 510       | 2401            | 255                     | Notice of Appeal  |             |
| 1011 310 2                                   | 011 155 Utility filing fee   |                                    | ٦                           | 1402  | 510       | 2402            | 255                     | Filing a brief in support of an appeal  |             |
| 1012 210 2                                   | 012 105 Design filing fee  |                                    | 7                           | 1403  | 1,030     | 2403            | 515                     | Request for oral hearing  |             |
| 1013 210 2                                   | 013 105 Plant filing fee   |                                    | 7                           | 1452  | 510       | 2452            | 255                     | Petition to revive - unavoidable  |             |
| 1014 310 2                                   | 014 155 Reissue filing fee   | •                                  | 7                           | 1453  | 1,540     | 2453            | 770                     | Petition to revive - unintentional  |             |
| 1005 210 2                                   | 005 105 Provisional filling  | fee                                | 7                           | 1462  | 400       | 1462            | 400                     | Petition fee under 37 CFR 1.17(f)   |             |
| '  |  |                                    | _                           | 1463  | 200       | 1463            | 200                     | Petition fee under 37 CFR 1.17(g)   | ···         |
|  | SUBTOTAL (1)   | (\$) 0                             | ן נ                         | 1464  | 130       | 1464            | 130                     | Petition fee under 37 CFR 1.17(h)   | <del></del> |
| 2. EXTRA CLA                                 | IM FEES FOR UTILITY AN   | D REISSUE                          |                             | 1807  | 50        | 1807            | 50                      | Processing fee under 37 CFR 1.17 (q)  | $\Box$      |
|  | Extra F  | ee from Fee<br>elow Paid           |                             | 1806  | 180       | 1806            | 180                     | Submission of Information Disclosure<br>Stmt  |             |
| Total Claims Independent Claims              | -5 ** = 0 X  | = 0                                | ]<br> <br>                  | 8021  | 40        | 8021            | 40                      | Recording each patent assignment per property (times number of properties)                        |             |
| Multiple                                     |  | = 0                                | ╡╽                          | 1809  | 810       | 2809            | 405                     | Filing a submission after final rejection (37 CFR § 1.129(a))                                     | ,           |
| Dependent  Large Entity                      | ∟<br><u>ı Sm</u> all Entity  |                                    | J                           | 1810  | 810       | 2810            | 405                     | For each additional invention to be   |             |
| Fee Fee<br>Code (\$)                         | Fee Fee Fee Descrip  | otion                              |                             | 1801  | 810       | 2801            | 405                     | examined (37 CFR § 1.129(b)) Request for Continued Examination (RCE)                              |             |
| 1202 50                                      | 2202 25 Claims in ex   | cess of 20                         |                             | Other fe  | e (speci  | fv)             |                         |   | $\vdash$    |
| 1201 210                                     | · · · · · · · · · · · · · · · · · · ·                              | claims in excess of 3              |                             |   |           |                 | na Fee                  | Paid SUBTOTAL (3) (\$)180   |             |
| 1203 370                                     |  | endent claim, if not pa            |                             | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)180 4. SEARCH/EXAMINATION FEES |           |                 |                         |   |             |
| 1204 210                                     | 2204 105 Reissue in<br>original pate                               | ndependent claims ove<br>nt        | r                           | 1111  | 510       | 2111            | 255                     |   |             |
| 4005 50                                      | ** Poiecuo e   | in<br>laims in excess of 20 a      | nnd                         | 1112  | 100       | 2112            | 233<br>50               | Utility Search Fee Design Search Fee  |             |
| 1205 50                                      | 2205 25 Reissue C  |                                    |                             | 1113  | 310       | 2113            | 155                     | Plant Search Fee  |             |
|  |  |                                    | 1                           | 1114  | 510       | 2114            | 255                     | Reissue Search Fee  | <del></del> |
|  | SUBTOTAL (2)   | (\$) 0                             |                             | 1311  | 210       | 2311            | 105                     | Utility Examination Fee   | $\vdash$    |
|  |  |                                    | •                           | 1312  | 130       | 2312            | 65                      | Design Examination Fee  |             |
|  |  |                                    |                             | 1313  | 160       | 2313            | 80                      | Plant Examination Fee   | <del></del> |
|  |  |                                    | 1314                        | 620   | 2314      | 310             | Reissue Examination Fee | $\vdash$  |             |
|  |  |                                    |                             | 520   | 2017      | 3,0             | 011777711111            | ┸——┦  |             |
| "or number previo                            | usly paid, if greater; For Reissues, s                             | ee above                           |                             |   |           |                 |                         | SUBTOTAL (4) (\$)0  |             |
| SUBMITTED BY                                 | SUBMITTED BY Complete (if applicable)                              |                                    |                             |   |           |                 |                         |   |             |
| Name (Print/Type)                            | Gary D. Yacura   | Registration Mo<br>(Attorney/Agent | ) /                         |   | 35,       | 416             |                         | Telephone 703-668-8000  |             |

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/715,462

Filing Date:

November 19, 2003

Applicant:

Kang Soo SEO et al.

Group Art Unit:

2621

Examiner:

Shibru, Helen

Title:

RECORDING MEDIUM HAVING DATA STRUCTURE FOR MANAGING REPRODUCTION OF AT LEAST VIDEO DATA RECORDED THEREON AND RECORDING AND REPRODUCING METHODS AND

**APPARATUSES** 

Attorney Docket:

46500-000581/US

Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314 Mail Stop Amendment

May 28, 2008

### INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

#### I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form PTO-1449 attached hereto.

#### II. <u>COPIES</u>

A. Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office; (iii) for each cited pending unpublished U.S. application listed below in Section IV, the application specification including the claims, and any drawing of the application, or that portion of the application which caused it to be listed including any claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

| U.S. Application No. 10/715,462     |
|-------------------------------------|
| Attorney Docket No. 46500-000581/US |
| Page 2 of 5                         |

| - |      | PTO-1449 or on the copies of Form PTO-892, but which were previously cited by or submitted to the PTO in one of which has been relied upon for an earlier filing date under   | n are not enclosed herewith, of the following applications  |
|---|------|---|---|
|   |      | U.S. Serial Number  | U.S. Filing Date  |
|   |      | C. Because the present application was/is being filed at of the U.S. patents or U.S. patent application publication attached Form PTO-1449 are enclosed pursuant to § 1.98(a)(2)(i). Any foreign patent documents or non-patent attached Form PTO-1449 are enclosed herewith.   | ons which are listed on the the waiver of 37 C.F.R.   |
| - |      | D. This is a PCT application in the entry of the National A copy of the International Search Report is attached for The documents listed on the International Search Report ar PTO-1449 for consideration by the Examiner and for list from this application. Since the International Search Report IPO search authorities, copies of these references should USPTO under the trilateral agreement and are believed above-identified application. (MPEP 1893.03(g)) | the Examiner's information. e listed on the attached Form ting on any patent resulting ort was from the US, EPO, or d have been supplied to the |
|   | III. | CONCISE EXPLANATION OF THE RELEVANCE (che   | eck at least one box)   |
|   |      | A. Except as may be indicated below in (B), all of the information are in the English language (concise explanate   |   |
|   |      | B. A concise explanation of the relevance of each information listed that is not in the English language is § 1.98(a)(3)):  |   |
|   |      | <ol> <li>See the attached foreign patent office comm foreign application:</li> <li>English translations are provided for:</li> <li>Other:.</li> </ol>   | unication from a counterpart  |
|   |      | C. The following additional information is proconsideration:  | vided for the Examiner's  |
|   | IV.  | CROSS REFERENCE TO RELATED APPLICATION(S  | )   |
|   |      | A. The Examiner is advised that the following co-pend subject matter that may be related to the present applicat application(s) to the Examiner's attention, Applicant(s confidentiality provisions of 35 U.S.C. § 122.   | ion. By bringing this(these)  |

Serial No.

Filing Date

Art Unit

| 7. | THIS IDS IS BEING FILED UNDER  |
|----|--|
|    | A. 37 C.F.R. § 1.97(b): (check <u>only</u> one box)  |
|    | 1. within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.  |
|    | 2.  within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.   |
|    | 3. before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p). |
|    | 4.  before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.  |
|    | B. ≥ 37 C.F.R. § 1.97(c): (check <u>only</u> one box)  |
|    | before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.  |
|    | 1. No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).  |
|    | 2. See the certification below. No fee is required.  |
|    | C. 37 C.F.R. § 1.97(d):  |
|    | <ul> <li>☐ after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.</li> <li>1. ☐ See the certification below. A fee in the amount of \$180.00 is required by</li> </ul>  |
|    | 37 C.F.R. § 1.17(p).   |

| VI.   | CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)   |
|-------|---|
|       | The undersigned hereby certifies that:  |
|       | A. a each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F.R. § 1.704(d) below in section VII, if applicable; or  |
|       | B.  no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).  |
|       | C. Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS. |
| VII.  | STATEMENT UNDER 37 C.F.R. § 1.704(d)  |
|       | The undersigned hereby states that:   |
|       | each item of information contained in this IDS was cited in a communication from a patent office in a counterpart application and this communication was not received by dividual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this   |
| VIII. | PAYMENT OF FEES (check only one box)  |
|       | A. \( \sum \) No fee is believed to be due in light of the above-noted status or above-provided certification.  |
|       | B. A check in the amount of \$180.00 is enclosed for the above-identified fee.  |
|       | C. Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.   |

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. §§ 1.16 or 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

HARNESS, DICKEY, & PIERCE, P.L.C.

Gary D. Yazara, Reg. No. 35

P.O. Box 8910 Reston, Virginia 20195

(703) 668-8000

GDY/JBS:gew

**Enclosures:** 

Form PTO-1449

Document(s)

Fee